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Form PTO-1390 (REV 11-98) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

us. APPLICATION NO. (If known, see 37 C

ATTORNEY'S DOCKET NUMBER

INTERNATIONAL APPLICATION NO. PCT/US99/01087

INTERNATIONAL FILING DATE 19/01/1999

PRIORITY DATE CLAIMED

20/01/1998

TITLE OF INVENTION

METHODS AND COMPOSITIONS TO ALTER TISSUE SUSCEPTIBILITY TO IMMUNE INJURY, TO PROGRAMMED CELL DEATH, AND TO CLEARANCE BY THE RETICULOENDOTHELIAL SYSTEM.

APPLICANT(S) FOR DO/EO/US

Peter J. Sims, Therese Wiedmer, Ji Zhao-

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the	following items and
other information:	

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [ ] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] has been transmitted by the International Bureau.
  - c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [ ] have been transmitted by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- 8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment.
  - [ ] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [ ] A substitute specification.
- 15. [ ] A change of power of attorney and/or address letter.
- 16. [X] Other items or information: return receipt postcard.

U.S. APPLICATION NO.	かせゅううう		NATIONAL APPLICATION 599/01087	NO.	ATTORNEY'S D 160180.90121	OCKET NUMBER	
Neither internation international search	EE (37 CFR 1.492(a)(1 al preliminary examinat h fee (37 CFR 1.445(a)(	ion fee (. 2)) paid	37 CFR 1.482) nor to USPTO and Internation	nal \$970.00	CALCULATIONS	PTO USE ONLY	
International prelir	minary examination fee (	37 CFR	1.482) not paid to USPT( O or JPO	)			
International prelir	minary examination fee (	37 CFR	1.482) not paid to USPTO	)			
International prelir	minary examination fee p	paid to U	SPTO (37 CFR 1.482) b 33(1)-(4)	ut			
International prelir	minary examination fee p	oaid to U	SPTO (37 CFR 1.482)				
and all claims satisfied provisions of PCT Article 33(1)-(4)\$96.00  ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 840.00		
	for furnishing the oath or est claimed priority date		tion later than [ ] 20 . 1.492(e)).	[ ] 30	\$		
CLAIMS	NUMBER FILE	D .	NUMBER EXTRA	RATE			
Total claims	30	-20 =	10	X \$18.00	\$180.00		
Independent claims	6	-3 =	3	X \$78.00	\$234.00		
MULTIPLE DEPE	NDENT CLAIM(S)	(if app	olicable)	+ \$260.00			
TOTAL OF ABOVE CALCULATIONS =					\$1,254.00		
Reduction by ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).					\$		
SUBTOTAL =					\$1,254.00		
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.429(f)).					\$		
TOTAL NATIONAL FEE =					\$1,254.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =					\$1,254.00		
00 AGIZAW 00000018 170055 09600535 1 00000029 DAW: 170055 09600535					Amount to be: refunded	\$	
0 840.00 CH 6 180.00 CH 4 274.00 CH					Charged	\$	
a. [ ] A check in the amount of \$ .00 to cover the above fees is enclosed.							
	ge my Deposit Account l	No17	7-0055 in the amou	nt of \$1,254	.00 to cover the above f	ees. A duplicate cop	
			harge any additional fees - 0055 . A duplicate c			·	
	ppropriate time limit u ted to restore the appli			not been met, a	petition to revive (37 CF)	R 1.137(a) or (b))	
SEND ALL CORRESPONDENCE TO:			NATURE	C. Baker			
Jean C. Ba							
Quarles & Brady LLP  411 East Wisconsin Ave.  Milwaukee, WI 53202-4497							
		UMBER					